



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/520,331	01/04/2005	Eduard Ferninand Stikvoort	NL02 0622 US	5112
65913	7590	01/17/2008		
NXP, B.V. NXP INTELLECTUAL PROPERTY DEPARTMENT M/S41-SJ 1109 MCKAY DRIVE SAN JOSE, CA 95131			EXAMINER SINGH, HIRDEPAL	
			ART UNIT 2611	PAPER NUMBER
			NOTIFICATION DATE 01/17/2008	DELIVERY MODE ELECTRONIC

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

ip.department.us@nxp.com

## Office Action Summary

Application No.

10/520,331

Applicant(s)

STIKVOORT ET AL.

Examiner

Hirdepal Singh

Art Unit

2611

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 07 November 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-5 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-5 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

## **DETAILED ACTION**

1. This action is in response to the Amendment filed on November 07, 2007. Claims 4-5 are newly added, now claims 1-5 are pending and have been considered below.

### ***Response to Arguments***

2. Applicant argues that the universally recognized symbols need not be described or labeled as required by the objection. However, examiner want to point out that the blocks in figure 1 are not universally recognized symbols for the described components, except block 3 i.e. mixer. The blocks need to have descriptive labels; for example, "BPF" may be used for the label of block number 1 and "Filter" for block no. 5 etc. Therefore, the objection to the drawings is not withdrawn.

3. Applicant's arguments with respect to claims 1-5 have been considered but are moot in view of the new ground(s) of rejection.

### ***Claim Rejections - 35 USC § 103***

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and

the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claims 1 and 3-5 are rejected under 35 U.S.C. 103(a) as being unpatentable over Knutson et al. (US 2003/0163822) in view of Cheung (US 6,476,685) further in view of Chappell (US 2002/0141494).

**Regarding claim 1:**

Knutson et al discloses tuning arrangement for receiving a plurality of signal channels (paragraph 0016) and for tuning to a specific of said plurality of signal channels, the arrangement comprising a polyphase mixer (134 in figure 3; 174 in figure 5) for mixing said specific signal channel to an intermediate frequency which is lower than twice the bandwidth of the channel, a polyphase IF filter (178 in figure 5) for rejecting the negative frequencies in the mixer output signal.

Knutson et al discloses all of the subject matter as described above except for specifically teaching; (1) mixer is mixing said specific signal channel to an intermediate frequency which is lower than twice the bandwidth of the channel; and (2) a polyphase group delay equalizer connected to the output of the polyphase IF filter characterized in that the transfer function of the group delay equalizer has, for the frequency range of interest, only one or more pole-zero pairs alongside of the positive imaginary axis of the complex frequency plane with the pole(s) and the zero(s) of said one or more pairs lying substantially symmetrically with respect to said positive imaginary axis.

However, regarding item (1) above, Chappell in same field of endeavor discloses a system and method for determining frequency response in cable TV systems where mixer is mixing said specific signal channel to an intermediate frequency which is lower than the bandwidth of the channel (paragraph 0045; figure 3).

Therefore, it would have been obvious to one of ordinary skill in the art the time of invention to use a tuning arrangement for selecting a specific channel by mixing the local oscillator frequency to the signal channel where the oscillator frequency is lower than twice the channel bandwidth in order to make the baseband signal frequency less than or equal to the center frequency of the preceding filter circuitry to make the response of the system desirable by getting the signal frequency lying between the cutoff frequencies of the filter circuits.

Regarding item (2) above, Cheung in same field of endeavor discloses using a group delay equalizer (abstract) and the transfer function of the equalizer has, for the frequency range of interest, only one or more pole-zero pairs alongside of the positive imaginary axis of the complex frequency plane (figure 4a) with the pole(s) and the zero(s) of said one or more pairs lying substantially symmetrically with respect to said positive imaginary axis (figure 4b).

Therefore, it would have been obvious to one of ordinary skill in the art the time of invention to implement a group delay equalizer as taught by Cheung in the channel selection or tuning system of Knutson in order to keep the delay at a lower and invariable amount as the transfer function with poles and zeros symmetrical to the positive imaginary axis has the advantage that this arrangement compensates for the

delay introduced by the filtering components as they introduce more delay at low frequencies than at high frequencies, the delay equalizer compensate for that by having more delay to high frequencies than lower frequencies.

**Regarding claim 3:**

Knutson et al discloses all of the subject matter as described above except for specifically teaching that a cascade of group delay equalizers is connected to the output of the polyphase IF filter, each of said group delay equalizers having only one pole-zero pair alongside of the positive imaginary axis of the complex frequency plane.

However, Cheung in same field of endeavor discloses using a group delay equalizer (abstract) and further discloses cascade of group delay equalizers (column 3, lines 15-20) is connected to the output of the filter with group delay equalizers having only one pole-zero pair alongside of the positive imaginary axis of the complex frequency plane (figure 4a; column 3, lines 22-25).

Therefore, it would have been obvious to one of ordinary skill in the art the time of invention to implement a group delay equalizer as taught by Cheung in the channel selection or tuning system of Knutson in order to take advantage of different delay response of cascaded equalizers to compensate over a required frequency spectrum.

**Regarding claims 4 and 5:**

Knutson et al discloses all of the subject matter as described above except for specifically teaching that individual group delay equalizers within the cascade of group delay equalizers comprise different or same pole-zero patterns.

However, Cheung in same field of endeavor discloses using a group delay equalizer (abstract) and further discloses cascade of group delay equalizers (column 3, lines 15-20) where the pole-zero pattern of the group delay equalizers is as shown in figures 4a and figure 4b depending on the first or second order equalizer used.

Therefore, it would have been obvious to one of ordinary skill in the art the time of invention to implement a group delay equalizer as taught by Cheung in the channel selection or tuning system of Knutson by cascading first or second order equalizers and to one of ordinary skill it is obvious that when using two equalizer whether they are first order or second order group delay equalizers with similar components having similar characteristics the pole-zero pattern of cascaded equalizer is obtainable as desired i.e. same or different for the cascaded equalizers in order to take advantage of different delay response of cascaded equalizers to compensate over a required frequency spectrum.

4. Claim 2 is rejected under 35 U.S.C. 103(a) as being unpatentable over Knutson et al. (US 2003/0163822) in view of Cheung (US 6,476,685) further in view of Chappell (US 2002/0141494) as applied to claim 1 above, and further in view of Sempel et al. (US 6,324,233).

**Regarding claim 2:**

Knutson et al discloses all of the subject matter as described above except for specifically teaching that group delay equalizer comprises an in phase part and a quadrature phase part, each of said parts comprising a balanced operational amplifier, first conductances and first capacitances connected in parallel between each output and the inverting input of the operational amplifier for constituting the pole in the complex frequency plane, second conductances between each input of the part and one of the inputs of the operational amplifier and second capacitances between each input of the part and the other of the inputs of the operational amplifier for constituting the zero in the complex frequency plane and further conductances connecting the inputs of the operational amplifier of each part to the inputs and to the outputs of the other of said parts for shifting the pole and the zero along the positive imaginary axis of the complex frequency plane.

However, Sempel in the same field of endeavor teaches a group delay equalizer (figures 5b and 5c) comprising balanced operational amplifier (A1- A4 in figure 5b), first conductances and first capacitances ( $G$ ,  $G/4$ , 3.4 pF in figure 5b; column 6, lines 1-35) connected in parallel between each output and the inverting input of the operational amplifier for constituting the pole in the complex frequency plane, second conductances (as shown in figure 5b) between each input of the part and one of the inputs of the operational amplifier and second capacitances (2.5 pF in figure 5b) between each input of the part and the other of the inputs of the operational amplifier for constituting the zero in the complex frequency plane and further conductances connecting the inputs of



the operational amplifier of each part to the inputs and to the outputs of the other of said parts for shifting the pole and the zero along the positive imaginary axis of the complex frequency plane.

Therefore, it would have been obvious to one of ordinary skill in the art the time of invention to implement a group delay equalizer as taught by Sempel in the channel selection or tuning system of Knutson in order to make the system with less power consumption saving chip area and getting required characteristics.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Hirdepal Singh whose telephone number is 571-270-1688. The examiner can normally be reached on Mon-Fri (Alternate Friday Off) 8:00AM-5:00PM EST.


If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Shuwang Liu can be reached on 571-272-3036. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number:  
10/520,331  
Art Unit: 2611

Page 9

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

HS  
January 8, 2008

  
SHUWANG LIU  
SUPERVISORY PATENT EXAMINER